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APR 10 2008 PCT/US04/05120 NOV 2006

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		<b>ATTORNEY'S DOCKET NUMBER GKNG 1281 PCT</b>
INTERNATIONAL APPLICATION NO. PCT/EP 2004/009133	INTERNATIONAL FILING DATE 14 AUGUST 2004	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/570,774
PRIORITY DATE CLAIMED 5 SEPTEMBER 2003		
TITLE OF INVENTION <b>COMPACT DRIVE ASSEMBLY WITH MULTI-PLATE COUPLING</b>		
APPLICANT(S) FOR DO/EO/US <b>GEORG KWOKA, ADRIAN CHLUDEK and BERND BENDER</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul> </p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul> </p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ul> </p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
<p><b>Items 11 to 20 below concern document(s) or information included:</b></p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p>		

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/570,774	INTERNATIONAL APPLICATION NO. PCT/EP 2004/009133	ATTORNEY'S DOCKET NUMBER GKNG 1281 PCT		
20. Other items or information: <b>Response to Notification of Missing Requirements; Copy of Original Notification of Missing Requirements (as requested)</b>				
The following fees have been submitted		CALCULATIONS PTO USE ONLY		
21. <input type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300		\$		
22. <input type="checkbox"/> Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 All other situations..... \$200		\$		
23. <input type="checkbox"/> Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400 All other situations..... \$500		\$		
<b>TOTAL OF 21, 22 and 23 =</b>		<b>\$0.00</b>		
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE	
13 - 100 =	0 /50 =	0	x \$250	\$ 0
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).		\$ 130.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	12 - 20 =	0	x \$ 50	\$ 0
Independent claims	1 - 3 =	0	x \$200	\$ 0
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$ 360	\$ 0
		<b>TOTAL OF ABOVE CALCULATIONS =</b>		<b>\$ 130.00</b>
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.				
		<b>SUBTOTAL =</b>		<b>\$ 130.00</b>
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).		\$ 0		
		<b>TOTAL NATIONAL FEE =</b>		<b>\$ 130.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		\$ 0		
		<b>TOTAL FEES ENCLOSED =</b>		<b>\$ 130.00</b>
		Amount to be refunded:	\$	
		Amount to be charged	\$	

11/27/2006 ATRAN1 00000026 500476 10570774

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- a.  A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b.  Please charge my Deposit Account No. 50-0476 in the amount of \$ 130.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
- c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0476. A duplicate copy of this sheet is enclosed.
- d.  Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

## SEND ALL CORRESPONDENCE TO:

Robert P. Renke  
 Arzt & Arzt, P.C.  
 28333 Telegraph Road, Suite 250  
 Southfield, MI 48034  
 U.S.A.  
 (248) 223-9500  
 (248) 223-9522 FAX

  
 \_\_\_\_\_  
 SIGNATURE  
 Robert P. Renke  
 \_\_\_\_\_  
 NAME  
 40,783  
 \_\_\_\_\_  
 REGISTRATION NUMBER

Dated: November 14, 2006

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Georg Kwoka, et al.

Serial No.: 10/570,774

Filed: March 3, 2006

For: COMPACT DRIVE ASSEMBLY WITH MULTI-PLATE COUPLING

Attorney Docket No.: GKNG 1281 PCT

I hereby certify that this correspondence is being sent via facsimile to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 fax number (571) 273-3201 on:

November 14, 2006  
Date of Deposit

Angie Moscowitz

  
Signature

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notification of Missing Requirements dated October 27, 2006, Applicants are submitting herewith the required executed Declaration under 37 CFR 1.63.

Also enclosed herewith is a copy of the original Notification of Missing Requirements as requested.

Applicant is also submitting three (3) executed Powers of Attorney for filing as well.

The Commissioner is hereby authorized to charge Deposit Account No. 50-0476, in the name of John A. Artz, P.C. in the amount of \$130.00 for payment of the required declaration filing fee. The Commissioner is further authorized to charge any additional fees necessary, or credit any overpayment concerning this application to Deposit Account No. 50-0476 in the name of John A. Artz, P.C.

Respectfully submitted,

**ARTZ & ARTZ, P.C.**



---

Robert P. Renke, Reg. No. 40,783  
28333 Telegraph Road, Suite 250  
Southfield, MI 48034  
(248) 223-9500

Dated: November 14, 2006



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/570,774	Georg Kwoka	GKNG1281PCT

027256  
 ARTZ & ARTZ, P.C.  
 28333 TELEGRAPH RD.  
 SUITE 250  
 SOUTHFIELD, MI 48034

**RECEIVED**

NOV - 2 2006

INTERNATIONAL APPLICATION NO.

PCT/EP04/09133

I.A. FILING DATE	PRIORITY DATE
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08/14/2004 09/05/2003

INTERNATIONAL APPLICATION NO.

**CONFIRMATION NO. 9216**  
**371 FORMALITIES LETTER**



\*OC000000020999156\*

Resp due

12/27/06

Date Mailed: 10/27/2006

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/03/2006
- Copy of the International Search Report filed on 03/03/2006
- Preliminary Amendments filed on 03/03/2006
- Information Disclosure Statements filed on 03/03/2006
- Oath or Declaration filed on 03/03/2006
- Request for Immediate Examination filed on 03/03/2006
- U.S. Basic National Fees filed on 03/03/2006
- Substitute Specification filed on 03/03/2006
- Priority Documents filed on 03/03/2006
- Power of Attorney filed on 03/03/2006
- Specification filed on 03/03/2006
- Claims filed on 03/03/2006
- Abstracts filed on 03/03/2006
- Drawings filed on 03/03/2006

**ENTERED CPI**

Date: 11/2/06  
 By: CAL

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$130 for a Large Entity**:

- \$130 Surcharge.**

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

FREDERICK SMITH

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Telephone: (703) 308-9140 EXT 210

**PART 1 - ATTORNEY/APPLICANT COPY**

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U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/570,774	PCT/EP04/09133	GKNG1281PCT

FORM PCT/DO/EO/905 (371 Formalities Notice)